

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Michael Francis Higgins	)	
	)	
Application No.: 10/691,396	)	Confirmation No. 8656
	)	
Filed: October 21, 2003	)	
	)	Group Art Unit: 2628
For: METHOD AND APPARATUS FOR	)	
CONVERTING FROM A SOURCE	)	Examiner: AMIN, Jwaland B
COLOR SPACE TO A TARGET	)	
COLOR SPACE	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97(c)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the SB 08A and SB 08B forms accompanying this letter. This Information Disclosure Statement is being filed after receipt of a first Office Action on the merits of the claims. Under the provisions of 37 C.F.R. § 1.97(c), the fee under 37 CFR 1.17(p) is required and payment in the amount of \$180.00 accompanies the filing of this Information Disclosure Statement.

Applicants direct the Examiner's attention to the table below which lists the co-owned and co-pending applications that may have subject matter related to the subject matter of the above-referenced application, some of which are recited in paragraph [01] of the specification. The table also lists their current prosecution

status. In the table "NF" indicates "non-final," OA indicates "Office Action" and "NOA" indicates Notice of Allowance.

Application Number	Examiner	Art Unit	Attorney Docket No.	Current Status
6,980,218	(n/a)	(n/a)	8831.0057	Issued
11/176,631	ZIMMERMAN, M	2628	8831.0057-01	Awaiting First OA
10/691,377	MOORE, D	2625	8831.0058	Awaiting First OA
7,196,935	(n/a)	(n/a)	8831.0060	Issued
10/821,386	CHOWDHURY, A	2609	8831.0065	Awaiting First OA
10/821,306	TBD	2625	8831.0067	Awaiting First OA
11/278,675	TBD	2877	8831.0072	Awaiting First OA

Applicants have cited the substantive prosecution, if any, in each of these related cases on the accompanying SB-08B forms, and have provided copies of these documents as non-patent-literature. Applicants have further listed, on the accompanying SB 08A forms, the primary references that were cited in rejections made under 35 U.S.C. §§ 102 and 103 in each of the Office Actions in the related cases, if these references have not already been cited in a prior Information Disclosure Statement. Applicants have also cited references from International Search Reports that have been received in the subject application and in the related applications in which foreign counterpart applications have been filed.

Applicant respectfully requests that the Examiner consider all of the documents listed on the SB 08A and SB 08B forms and indicate that they were considered by making the appropriate notations on the attached forms, and returning the initialed and signed forms with the next communication in this application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are

material or constitute "prior art." If the Examiner applies any documents as prior art against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,  
Clairvoyante, Inc

By:           /Judith C. Bares/            
Judith C. Bares      Reg. No. 35,824

Dated: February 13, 2007

Telephone: 408.200.7386

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10691396
Filing Date	October 21, 2003
First Named Inventor	HIGGINS, Michael, Francis
Art Unit	2628
Examiner Name	AMIN, Jwalant R.
Attorney Docket Number	08331.0059

Sheet 1 of 6

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.†	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,439,759	03-27-1984	Fleming et al	
		US-5,233,385	08-03-1993	Sampsel	
		US-5,416,890	05-16-1995	Beretta	
		US-5,438,649	08-01-1995	Ruetz	
		US-5,448,652	09-05-1995	Vaidyanathan et al	
		US-5,694,186	12-02-1997	Yanagawa et al	
		US-5,719,639	02-17-1998	Imamura	
		US-5,724,442	03-03-1998	Ogatsu et al	
		US-5,731,818	03-24-1998	Wan et al	
		US-5,917,556	06-29-1999	Katayama	
		US-5,933,253	08-03-1999	Ito et al	
		US-5,987,165	11-16-1999	Matsuzaki et al	
		US-6,023,527	02-08-2000	Narahara	
		US-6,054,832	04-25-2000	Kunzman et al	
		US-6,108,053	08-22-2000	Pettitt et al	
		US-6,256,425 B1	07-03-2001	Kunzman	
		US-6,297,826 B1	10-02-2001	Semba et al	
		US-6,380,008 B1	03-19-2002	Suzuki et al	
		US-6,483,518 B1	11-19-2002	Perry et al	

**FOREIGN PATENT DOCUMENTS**

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		WO 2004/086128 A1	10-07-2004	Kim et al		
		WO 01/37251 A1	05-25-2001	Hirano et al		
		JP 08-202317	08-09-1996	Moriyoshi		Abstract
		JP 6-261332	09-16-1994	Kanazawa et al		Abstract
		WO 2004/040548	05-13-2004	Ben-Chorin et al		

Examiner Signature	Date Considered
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\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number	08331.0059

Sheet 2 of 6

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		US-6,536,904 B2	03-25-2003	Kunzman	
		US-4,737,843	04-12-1988	Spencer	
		US-4,946,259	08-07-1990	Matino et al	
		US-5,642,176	06-24-1997	Abukawa et al	
		US-5,661,371	08-26-1997	Salerno et al	
		US-5,724,112	03-03-1998	Yoshida et al	
		US-5,748,828	05-05-1998	Steiner et al	
		US-5,751,268	05-12-1998	Sheridon	
		US-5,880,707	03-09-1999	Aratani	
		US-5,899,550	05-04-1999	Masaki	
		US-5,903,366	05-11-1999	Hirabayashi et al	
		US-5,929,843	07-27-1999	Tanioka	
		US-5,990,997	11-23-1999	Jones et al	
		US-5,995,669	11-30-1999	Shingu et al	
		US-6,100,872	08-08-2000	Aratani et al	
		US-6,147,728	11-14-2000	Okumura et al	
		US-6,262,698 B1	07-17-2001	Blum	
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		US-6,453,067 B1	09-17-2002	Morgan et al	

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		US-6,459,419 B1	10-01-2002	Matsubayashi	
		US-6,633,302 B1	10-14-2003	Ohsawa et al	
		US-6,714,212 B1	03-30-2004	Tsuboyama et al	
		US-6,714,243 B1	03-30-2004	Mathur et al	
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		US-6,771,028 B1	08-03-2004	Winters	
		US-6,781,626 B1	08-24-2004	Wang	
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		US-2003/0193056 A1	10-16-2003	Takayama et al	
		US-2003/0214499 A1	11-20-2003	Ohsawa et al	
		US-2004/0046725 A1	03-11-2004	Lee	
		US-2004/0072380 A1	04-15-2004	Yamazaki et al	
		US-2004/0095521 A1	05-20-2004	Song et al	

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Sheet 4 of 6

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		US-2004/0169807 A1	09-02-2004	Rho et al	
		US-2004/0179160 A1	09-16-2004	Rhee et al	
		US-2004/0195963 A1	10-07-2004	Choi et al	
		US-2004/0222999 A1	11-11-2004	Choi et al	
		US-2004/0223005 A1	11-11-2004	Lee	
		US-2003/0058466 A1	03-27-2003	Hoshuyama	
		US-2003/0117457 A1	06-26-2003	Qiao	
		US-2003/0179212 A1	09-25-2003	Matsushiro et al	
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		US-2005/0083341 A1	04-21-2005	Higgins et al	
		US-2005/0083344 A1	04-21-2005	Higgins	
		US-2005/0083345 A1	04-21-2005	Higgins	
		US-2005/0083352 A1	04-21-2005	Higgins	
		US-2005/0225562 A1	10-13-2005	Higgins et al	
		US-2005/0264580 A1	12-01-2005	Higgins	

## FOREIGN PATENT DOCUMENTS

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Attorney Docket Number	08831.59		
Sheet	5	of	6

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Betrissey, C., et al., "Displaced Filtering for Patterned Displays, SID Symp. Digest 1999, pgs 296-299	
		Brown Elliott, C., "Active Matrix Display....", IDMC 2000, 185-189, 8/2000.	
		Brown Elliott, C., "Color Subpixel Rendering Projectors and Flat Panel Displays," SMPTE, Feb 27-Mar 1, 2003, Seattle, WA pp 1-4	
		Brown Elliott, C, "Co-Optimization of Color AMLCD Subpixel Architecture and Rendering Algorithms," SID 2002 Proceedings Paper, May 30, 2002 pp 172-175	
		Brown Elliott, C, "Development of the PenTile Matrix™ Color AMLCD Subpixel Architecture and Rendering Algorithms", SID 2003, Journal Article	
		Brown Elliott, C, "New Pixel Layout for PenTile Matrix™ Architecture", IDMC 2002, pp 115-117	
		Brown Elliott, C, "Reducing Pixel Count Without Reducing Image Quality", Information Display 12/99, Vol 1, pp 22-25	
		Credelle, Thomas, "P-00: MTF of High-Resolution PenTile Matrix Displays", Eurodisplay 02 Digest, 2002 Pages 1-4	
		Klompenhouwer, Michiel, "Subpixel Image Scaling for Color Matrix Displays, SID Symp. Digest, 05/02, pp 176-179	
		Michiel A. Klompenhouwer, Gerard de Haan, Subpixel image scaling for color matrix displays, Journal of the Society for Information Display, Volume 11, Issue 1, March 2003, pp. 99-108	

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		First Named Inventor	HIGGINS, Michael Francis
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		Examiner Name	AMIN, Jwala B
(Use as many sheets as necessary)		Attorney Docket Number	08831.59
Sheet	6	of	6

**NON PATENT LITERATURE DOCUMENTS**

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		Messing, Dean et al., Improved Display Resolution of Subsampled Colour Images Using Subpixel Addressing, IEEE ICIP 2002, Vol 1, pp 625-628	
		Messing, Dean et al., Subpixel Rendering on Non-Striped Colour Matrix Displays, 2003 International Conf on Image Processing, 9/2003, Barcelona, Spain, 4 pages	
		MOROVIC, J., Gamut Mapping, in Digital Color Imaging Handbook, ed. G. Sharma, Boca Raton, FL: CRC Press, December, 2002, Chapter 10, pp. 635-682	
		USPTO, Notice of Allowance, dated March 21, 2005 in US Patent No. 6,980,219 (US Serial No. 10/691,200, Attorney Docket No. 8831.0057)	
		USPTO, Non-Final Office Action dated June 13, 2005 in US Patent Publication Number 2005/0083344 (US Serial No. 10/690,716, Attorney Docket No. 8831.0060)	
		Claivoyante, Inc. Response to Non-Final Office Action, dated December 13, 2005 in US Patent Publication Number 2005/0083344 (US Serial No. 10/690,716, Attorney Docket No. 8831.0060)	
		Claivoyante, Inc., Supplemental Amendment dated August 23, 2006 in US Patent Publication Number 2005/0083344 (US Serial No. 10/690,716, Attorney Docket No. 8831.0060)	
		USPTO, Interview Summary, dated August 25, 2006 in US Patent Publication Number 2005/0083344 (US Serial No. 10/690,716, Attorney Docket No. 8831.0060)	
		USPTO, Interview Summary, dated August 29, 2006 in US Patent Publication Number 2005/0083344 (US Serial No. 10/690,716, Attorney Docket No. 8831.0060)	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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